

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Martin BRENNER et al.
Title: PLATE-TYPE HEAT EXCHANGER
Appl. No.: Unassigned
Filing Date: 04/14/2004
Examiner: Unassigned
Art Unit: Unassigned

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Mail Stop PATENT APPLICATION
Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

Sir:

Applicants submit herewith on Form PTO/SB/08 a listing of the documents cited by or submitted to the U.S. PTO in parent application Serial No. 09/942,773, filed 08/31/2001. As provided in 37 CFR §1.98(d), copies of the documents are not being provided since they were previously submitted to the United States Patent & Trademark Office in the above-identified parent application.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

RELEVANCE OF EACH DOCUMENT

The relevance of the foreign-language documents is explained in the parent application.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date April 14, 2004

By 

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Date Submitted: April 14, 2004 <i>(use as many sheets as necessary)</i>				Complete if Known		
				Application Number		Unassigned
				Filing Date		04/14/2004
				First Named Inventor		Martin BRENNER
				Group Art Unit		Unassigned
				Examiner Name		Unassigned
Sheet	1	of	1	Attorney Docket Number		016906-0300

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	A1	2,959,401		BURTON	11-08-1990	
	A2	1,932,950		ANNIS	10-31-1933	
	A3	T911,013		MORGANS ET AL.	06-26-1973	
	A4	2,912,749		BAUERNFEIND ET AL.	11-17-1959	
	A5	4,362,209		CLEVELAND	12-07-1982	
	A6	3,967,354		JASPERS	07-06-1976	
	A7	5,342,706		MARIANOWSKI ET AL.	08-30-1994	
	A8	4,681,155		KREDO	07-21-1987	
	A9	6,013,385		DUBOSE	01-11-2000	
	A10	4,501,321		REAL ET AL.	02-26-1985	
	A11	4,579,172		CARLSSON	04-01-1986	
	A12	3,331,435		VALYI	07-18-1967	
	A13	4,527,622		WEBER	07-09-1985	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	A14	DE	197 07 648	A1	BEHR GMBH & CO.	08-27-1998		
	A15	DE	198 15 218	A1	BEHR GMBH & CO.	10-07-1999		
	A16	DE	199 09 881	A1	BEHR GMBH & CO.	09-07-2000		

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	A17	Bernd Lamb, "Cross Flow Energy Exchanger for Energy Recovery from Air Flows", Oberschüler 75 Karlsruhe 31 Lindenweg 13, 7533008, March 11, 1976	

Examiner Signature	Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, PO Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.